JC12 Rec'd PCT/PT 03 00 I 2005

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 1477.021	
In Re Application Of: LINDEMANN, Andreas						
Application No.		Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
Unknown		Herewith	Unknown	23405	Unknown	Unknown
Title: ENCAPSULATED POWER SEMICONDUCTOR ASSEMBLY Address to:						
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
1. 🛚	37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)						
2.	The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
☐ the statement specified in 37 CFR 1.97(e);						
OR						
	☐ the	fee set forth in 37 CF	·R 1.17(p).			

JC12 Rec'd PCT/PT 10/552032

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) 1477.021 In Re Application of: LINDEMANN, Andreas Application No. Filing Date Customer No. Group Art Unit Confirmation No. Examiner Unknown Herewith Unknown 23405 Unknown Unknown Title: ENCAPSULATED POWER SEMICONDUCTOR ASSEMBLY Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) ☐ A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited I certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States as first class mail in an envelope addressed to Patent and Trademark Office (Fa "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) (Date) Signature of Person Mailing Correspondence Signature Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate *This certificate may only be used if paying by deposit account. Dated: October 3, 2005 Jeff Rothenberg, Reg. No. 26,429 Heslin Rothenberg Farley & Mesiti P.C. 5 Columbia Circle Albany, NY 12203 Tel: 518-452-5600 Fax: 518-452-5579 E-mail: jr@hrfmlaw.com CC:

10/552032 JC12 Rec'd PCT/PTC 03 OCT 2005

Docket No. 1477.021

Applicant:

LINDEMANN, Andreas

Serial No.

Unknown

Group Art Unit:

Unknown

Filed:

Herewith

Examiner:

Unknown

Title:

ENCAPSULATED POWER SEMICONDUCTOR ASSEMBLY

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT OF RELEVANCE FOR INFORMATION DISCLOSED BY APPLICANT

Sir:

The following Statement of Relevance is submitted with the accompanying Form PTO-FB-A820.

Document

Designation Relevance

BA-BB Cited in corresponding German priority application.

BC-BG Cited in accompanying International Search Report.

Full text copies of the art cited, or the pertinent portions thereof, are enclosed. It is respectfully requested that this art be considered by the Examiner in the above-entitled application and made of record therein. The information provided and references enclosed herewith shall not be construed as a representation that a search has been made or that no other art than that identified exists.

Respectfully submitted,

Jeff Rothenberg

Registration No. 26,429

Date 10

10/3/2005

ATTY DOCKET NO. 1477.021 APPLICANT(S)JC12 Rec'd PCT/PTC INFORMATION DISCLOSURE CITATION LINDEMANN, Andreas (Use several sheets if necessary) **GROUP ART UNIT FILING DATE** Herewith Unknown **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE CLASS SUBCLASS DOCUMENT NUMBER DATE NAME IF APPROPRIATE INITIAL Weingand 723 5,679,979 10/21/1997 257 $\mathbf{A}\mathbf{A}$ 6,031,279 02/29/2000 Lenz 257 686 AB 03/30/1993 361 386 AC 5,198,964 Ito 12/03/1996 AD 5,581,121 Burnes 257 684 06/16/1998 Noda 257 675 ΑE 5,767,573 **U.S. PATENT APPLICATION PUBLICATIONS** *EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO H01L 04/11/2002 DE 25/07 BA 697 10 885 25/07 вв 196 35 582 02/19/1998 DE H01L H01L 23/31 04/30/1997 DE BC 196 25 240 H01L 25/04 BD 0 277 546 08/10/1988 EP BE 0 594 395 04/27/1994 EP H01L 23/36 **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY DOCKET NO. 1477.021 Ç12Res'd PCT/PTC INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) GROUP ART FILING Herewith Unknown **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE CLASS SUBCLASS DOCUMENT NUMBER DATE NAME IF APPROPRIATE INITIAL **U.S. PATENT APPLICATION PUBLICATIONS** *EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO H01L EP 23/31 BF 1 255 297 11/06/2002 WO 03/071601 08/28/2003 **PCT** H01L 23/373 BG OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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